



Customer Facing Instructions to Complete Form

Chapters:

1

Email with link to form arrives

2

Identify Your Company

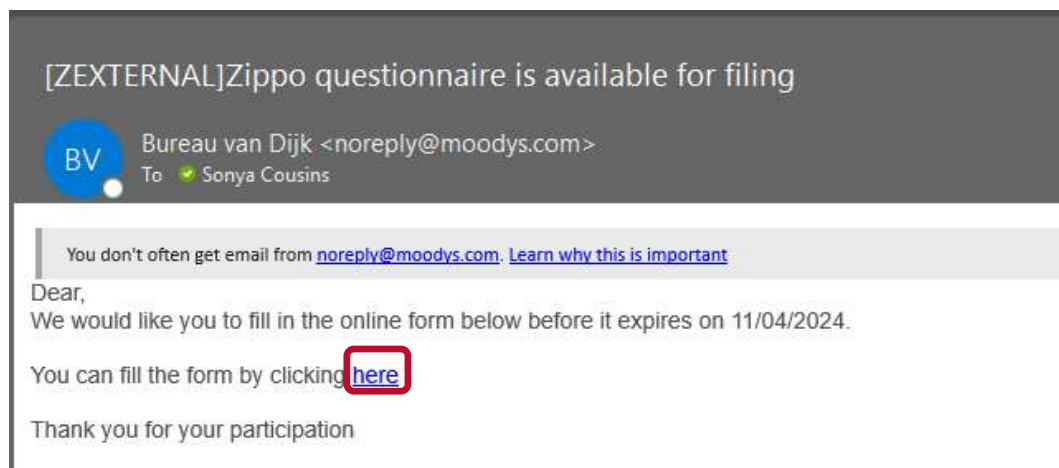
3

Provide Compliance Information



1. Company Self-Identification 1

You will receive an email from noreply@moodys.com. Click the email link to open the web form in a browser.






Company Self-Identification 2

You will see the **Identify Your Company** screen. Please enter your company information (fill in as many fields as possible) and hit the **SEARCH** button.

Identify your company

Company name	<input type="text" value="Moody's"/>
Country	<input type="text" value="USA"/> 
Post code	<input type="text"/>

Street, No.	<input type="text"/>
City	<input type="text"/>
National ID	<input type="text"/>



Company Self-Identification 3

Select your company from the list (choose radio button from far left).

If needed expand the selection choices with **Show More**. – (1)

If your company does not exist in the database, choose the option to enter your own data (will open new screen). – (2)

Click the **VALIDATE** button when the correct company is identified. – (3)

Select a counterparty among results

	Name	Legal status	Legal form	Address	City	Post code	Country	BvdID
<input checked="" type="radio"/>	MOODY'S CORPORATION	Active	Public limited company	WORLD TRADE CENTER 7, 250 GREENWICH STREET	NEW YORK	10007	US	US133998945
<input type="radio"/>	RUSTY GATE NURSERY AND BUILDING SUPPLY LLC (Alias: MOODYS)	Active	Limited liability company	221 WOOD ST	FORKS	98331-9003	US	US257311808L
<input type="radio"/>	MOODY'S LLC	Active (dormant)	Limited liability company	5904 BRILLAND SPRINGS PL	GLEN ALLEN	23060-2273	US	US328713269L
<input type="radio"/>	MOODY'S INC.	Dissolved	Incorporated business	149 STATE ROAD 35	RIVER FALLS	54022-8264	US	US132143546L
<input type="radio"/>	MOODYS	Active		309 S BELL BLVD	CEDAR PARK	78613-2907	US	US117429310GN
<input type="radio"/>	MOODYS LLC	Active	Limited liability company	1205 MERRITT BLVD	DUNDALK	21222-1439	US	US291284474L
<input type="radio"/>	THE MOODYS LLC	Active	Limited liability company	1307 N BELL AVE	CHICAGO	60622-3034	US	US395048381L
<input type="radio"/>	MOODY'S LLC	Active	Limited liability company	7892 ALEXANDERS CROSSING DR	OLIVE BRANCH	38654-4384	US	US405250322L
<input type="radio"/>	MOODY'S	Active		10007 BRIDGE ST STE B	TRUCKEE	96161-0220	US	US116860674GN
<input type="radio"/>	MOODYS	Active		767 3RD AVE	NEW YORK	10017-2023	US	US297216671L

1

Show more

Proceed with my own data

2

Validate

3



Company Self-Identification 4

Wait while data is retrieved.

Please wait while we are retrieving the data for the form...



Company Self-Identification 5

Click the check to verify company shown (or click the X if incorrect).

IS IT YOUR COMPANY ?



MOODY'S CORPORATION

Address: WORLD TRADE CENTER 7

NEW YORK, United States of America

EIN: 13-3998945





Provide Compliance Information 1

Fill out the compliance questionnaire. All fields should be filled out. Use a N/A if there is no applicable information. Click plus signs to attach documentation. Click cloud symbol to save and resume later.

Please note that the form will not allow you to submit if all fields are not completed.

ZIPPO BRANDS

(ZIPPO MANUFACTURING COMPANY, W.R. CASE & SONS CUTLERY COMPANY, NORTHERN LIGHTS ENTERPRISES, INC.)

DUE DILIGENCE QUESTIONNAIRE FOR THIRD-PARTY APPLICANT TERMS AND CONSENT

This information about you and your company (collectively, "you" and "your" or the "Applicant") is provided and will be used in order for Zippo Manufacturing Company and/or its affiliates W.R. Case & Sons Cutlery Company and/or Northern Lights Enterprises, Inc. (collectively, "Zippo") to comply with the rights and obligations under applicable laws against bribery, corruption, money laundering and other related laws (the "Applicable Law"). The information you provide under this questionnaire will be used exclusively for these purposes. You agree that Zippo may collect, store, process and share this information with Zippo subsidiaries and affiliates in other countries or with Zippo advisors involved in Zippo's compliance efforts, including in other countries. You agree that we may independently collect additional information on you or your shareholders, directors, employees or agents to verify your answers and confirm them with other sources. In furtherance of the foregoing, you hereby release Zippo, its respective officers, employees, and agents from any liability for any damage whatsoever as a result of any investigative inquiry, consumer report, or investigative consumer report made or received by Zippo, its representatives, and designees. You also authorize any person, association, firm, company, law enforcement agency, or personnel office to furnish information, including but not limited to, the business reputation of the Applicant and that of its owners, directors, officers, and key employees, its credit history, its references, its performance, its criminal conviction and civil records, and those of its owners, directors, officers, and key employees; and any other records, as well as any records or reports relating to the affiliates, companies, and partnerships identified above.

You have obtained or will obtain the consent that local law may require from any owners, officers, directors or employees in order to furnish this information to Zippo and for Zippo to possess, handle and review their information for these purposes. You further authorize Zippo to transfer any personal information collected during this inquiry to countries other than the country in which the information was originally collected. You have the right to access the information we have about you and to request the correction of any error. Your information is protected by the data protection laws that are applicable to Zippo's collection of this information.

All fields are mandatory, list NA if not applicable

AGREED by:

Firm ("Applicant"):

Form test 2

Legal Representative:

Name:

Title:





Form Submitted

Once the form is completed and submitted you will receive a notification on the screen.

You have successfully submitted Form 1.1 on 4/17/2024 12:42:50 PM (UTC)
identified as brett.heller@moodys.com